

[Please execute this document on your Institution's letterhead]

**Compliance with U.S. Export Control and Sanctions Regulations**

Reference No: \_\_\_\_\_

Description of Item(s):

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The undersigned hereby certifies that:

- 1) the item(s) received from the Lawrence Berkeley National Laboratory ("LBNL") will not be exported, re-exported or transferred (in-country) in violation of: (a) the U.S. Export Administration Regulations ("EAR"); (b) the U.S. International Traffic in Arms Regulations ("ITAR"); (c) the provisions of the Chemical Weapons Convention; and (d) applicable U.S. sanctions and embargoes administered by the U.S. Department of Treasury.
- 2) the item(s) listed above will not be used, directly or indirectly, in nuclear explosive activities; unsafe guarded nuclear activities; or in the design, development, production, stockpiling, or use of chemical weapons, biological weapons, or missiles, rockets or unmanned air vehicles.
- 3) the end-user will be required to obtain the necessary licenses for exports, reexports, and transfers (in-country) of items intended to a military end-user or military end use to the People's Republic of China (China), Hong Kong, Russia and Venezuela.
- 4) the item(s) acquired from LBNL will be used by the following end-user for the following end use. Please attach an additional sheet if necessary.

Name and address of end user:

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End Use:

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The undersigned certifies as follows:

All of the facts contained in this statement are true and correct to the best of my knowledge and I do not know of any additional facts that are inconsistent with the above statements. I shall promptly send a replacement certification to LBNL disclosing any material change of facts or intentions described in this certification that may occur after this certification has been executed and provided to LBNL

|                       |       |   |
|-----------------------|-------|---|
| End-User Signature:   | _____ | <b>Must be signature of actual end-user</b> |
| End-User Name (print) | _____ |   |
| End-User Title:       | _____ |   |
| Company Name:         | _____ |   |
| Date:                 | _____ |   |

LBNL reserves the right to deny engagements or shipments to any person or entity who fails to certify the foregoing.